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1645  
**PATENT**  
Attorney Docket No.: 18781-004910  
Client Reference No.: T00-001-1

On 5-10-02

TOWNSEND and TOWNSEND and CREW LLP

By: Linda Shaffer



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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P. 2

In re application of:

Bei Shan, et al.

Application No.: 09/848,990

Filed: May 3, 2001

For: TREATMENT OF  
HYPERTRIGLYCERIDEMIA AND  
OTHER CONDITIONS USING LXR  
MODULATORS

Examiner: Not yet assigned

Art Unit: 1645

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Washington, D.C. 20231

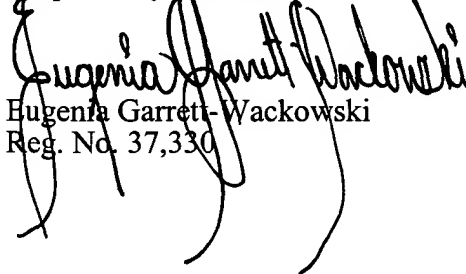
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Eugenia Garrett-Wackowski  
Reg. No. 37,330

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of

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Application Number	09/848,990
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Filing Date	May 3, 2001
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First Named Inventor	Shan, Bei
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Group Art Unit	1645
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Examiner Name	Not yet assigned
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Attorney Docket Number	18781-004910
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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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Sheet **2** of **4**

## Complete if Known

Application Number	09/848,990
Filing Date	May 3, 2001
First Named Inventor	Shan, Bei
Group Art Unit	1645
Examiner Name	Not yet assigned
Attorney Docket Number	18781-004910

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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	7	AUSTIN, <i>et al.</i> , "Cardiovascular Disease Mortality in Familial Forms of Hypertriglyceridemia: A 20-Year Prospective Study," <i>Circulation</i> , 101(24):2777-2782 (2000)	
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet **3** of **4**

## **Complete if Known**

Applicati n Number	09/848,990
Filing Date	May 3, 2001
First Named Invent r	Shan, Bei
Group Art Unit	1645
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	21	HUA, <i>et al.</i> , "Structure of the Human Gene Encoding Sterol Regulatory Element Binding Protein-1 (SREBF1) and Localization of SREBF1 and SREBF2 to Chromosomes 17p11.2 and 22q13," <i>Genomics</i> , 25:667-673 (1995)	
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	36	SCHULTZ, <i>et al.</i> , "Role of LXRs in control of lipogenesis," <i>Genes &amp; Dev.</i> , 14:2831-2838 (2000)	
	37	SHENG, <i>et al.</i> , "Independent regulation of sterol regulatory element-binding proteins 1 and 2 in hamster liver," <i>Proc. Natl. Acad. Sci.</i> , 92:935-938 (1995)	
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